

Investment Questionnaire

The information collected with the Investment Questionnaire will be used by your advisor to help identify an appropriate imaxx mutual fund solution that best suits your tolerance for risk. Please complete the following three simple steps with the help of your advisor.

Client name

Date

Client address

Client phone number (H)

(W)

(C)

Client email

Step 1 – Complete the Investment Questionnaire

Financial objectives and time horizon

1. Which of the following statements best describes your objective for the money you are investing?

- | | | |
|---|------------|-------------------------------------|
| a) Preservation of capital | = 0 points | # of Points
<input type="text"/> |
| b) Growth through a balance of capital gains and income | = 3 points | |
| c) Growth through capital gains and some income | = 4 points | |
| d) Growth primarily through capital gains | = 8 points | |

2. How long do you plan to leave this money invested?

- | | | |
|------------------|-------------|-------------------------------------|
| a) Under 2 years | = 0 points | # of Points
<input type="text"/> |
| b) 2 – 5 years | = 3 points | |
| c) 6 – 10 years | = 6 points | |
| d) 11 – 15 years | = 8 points | |
| e) Over 15 years | = 10 points | |

Note: If you have selected "Under 2 Years" as the time horizon for this investment, regardless of your score, a 100% fixed-income product may be the most suitable investment option. Please discuss with your advisor.

3. What is the chance that you may wish to cash in a significant portion of this investment earlier than anticipated?

- | | | |
|---------------------------------|------------|-------------------------------------|
| a) Low (less than 10%) | = 5 points | # of Points
<input type="text"/> |
| b) Medium (between 10% and 25%) | = 3 points | |
| c) High (over 25%) | = 0 points | |

Investment experience and knowledge

4. Which of the following best describes your level of investment knowledge?

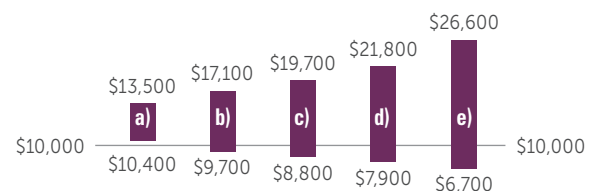
- | | | |
|-----------------------------|------------|-------------------------------------|
| a) Novice | = 0 points | # of Points
<input type="text"/> |
| b) Some familiarity | = 1 point | |
| c) Reasonably knowledgeable | = 2 points | |
| d) Quite knowledgeable | = 4 points | |
| e) Very knowledgeable | = 5 points | |

Attitude toward risk

5. Typically, investments which are more volatile (i.e., tend to go up and down in value) will, over the long term, have greater potential for return. With regards to this investment, how much of a drop in value over one year could you tolerate before becoming uncomfortable?

- | | | |
|------------------|------------|-------------------------------------|
| a) Less than 1% | = 0 points | # of Points
<input type="text"/> |
| b) 1% to 3% | = 1 point | |
| c) 4% to 6% | = 3 points | |
| d) 7% to 10% | = 4 points | |
| e) More than 10% | = 5 points | |

6. Investments that offer the highest potential returns typically have the greatest variability of returns. Given this statement, assuming you had a \$10,000 investment, please select one of the following gain/loss scenarios that you would be most comfortable with after a five-year investment time period. The gain/loss scenarios below show the range of the potential value of the \$10,000 investment at the end of the five-year period.



- | | | |
|---------------|---------------|-------------------------------------|
| a) = 0 points | d) = 3 points | # of Points
<input type="text"/> |
| b) = 1 point | e) = 5 points | |
| c) = 2 points | | |

imaxx Mutual Funds Investment Questionnaire

Personal information

7. Which of the following best describes your employment circumstances?

- | | | |
|------------------|------------|--|
| a) Full-time | = 5 points | # of Points
<input style="width: 30px; height: 30px;" type="text"/> |
| b) Self-employed | = 3 points | |
| c) Part-time | = 2 points | |
| d) Retired | = 0 points | |
| e) Other | = 1 point | |

8. Your personal income, before taxes, is in which of the following ranges:

- | | | |
|-------------------------|------------|--|
| a) Under \$25,000 | = 0 points | # of Points
<input style="width: 30px; height: 30px;" type="text"/> |
| b) \$25,001 – \$50,000 | = 1 point | |
| c) \$50,001 – \$80,000 | = 2 points | |
| d) \$80,001 – \$125,000 | = 4 points | |
| e) Over \$125,000 | = 5 points | |

9. The current value of your investments (i.e., registered, non-registered, mutual funds, segregated funds, chequing/ savings accounts), excluding real estate, is:

- | | | |
|--------------------------|------------|--|
| a) Under \$25,000 | = 0 points | # of Points
<input style="width: 30px; height: 30px;" type="text"/> |
| b) \$25,001 – \$50,000 | = 1 point | |
| c) \$50,001 – \$100,000 | = 2 points | |
| d) \$100,001 – \$250,000 | = 4 points | |
| e) Over \$250,000 | = 5 points | |

10. Your current age is within which of the following categories:

- | | | |
|-------------|------------|--|
| a) Under 30 | = 4 points | # of Points
<input style="width: 30px; height: 30px;" type="text"/> |
| b) 30 – 39 | = 5 points | |
| c) 40 – 54 | = 3 points | |
| d) 55 – 71 | = 1 point | |
| e) Over 71 | = 0 points | |

Step 2 – Total your score

Simply add up your total points from the 10 questions above to determine your investment profile score.

Total points

Step 3 – Determine your investment risk profile

Using your total score from Step two, please refer below to the corresponding recommendations. Once you have determined your Investment Risk Profile, your advisor can work with you to determine appropriate investments.

Score	Investment risk profile	Target Asset mix	Mutual funds offerings
11 or less	Very conservative	100% money market and short-term fixed income	imaxx™ Short Term Bond Fund
Between 12 and 20	Conservative	100% fixed income	imaxx™ Canadian Bond Fund
Between 21 and 33	Moderate	20% fixed income & 80% North American equities	imaxx™ Canadian Fixed Pay Fund
Between 34 and 46	Aggressive	100% North American equities	imaxx™ Canadian Dividend Plus Fund / imaxx Equity Growth Fund
47 and greater	Very aggressive	100% global equity	imaxx™ Global Equity Growth Fund

I understand that the results of this questionnaire do not constitute a recommendation by Foresters Asset Management Inc., or any of its affiliates or subsidiaries.

Client signature

Date

Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus before investing. Mutual funds are not guaranteed, their values change frequently, and past performance may not be repeated.

Foresters Asset Management Inc. has developed this questionnaire to assist you in developing guidelines for your investment strategy. This questionnaire does not form part of a contract and should be completed with the assistance of an advisor. All calculations are based on assumptions believed to be reasonable at the time of development. Foresters Asset Management is not acting in the capacity of an advisor to the individual investor. Foresters Financial is not responsible for any damages resulting from the use of this questionnaire by you or any other person.